

# Sheaves on subanalytic sites

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# Motivations

Sheaf theory is not well suited to study objects of the analysis which are not defined by local properties. It is the case, for example, of functions defined by growth conditions.

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Sheaf theory is not well suited to study objects of the analysis which are not defined by local properties. It is the case, for example, of functions defined by growth conditions.

Since the study of these spaces is very important, many ways have been explored by the specialists to overcome this problem.

# Motivations

One of the solutions was given by Kashiwara and Schapira in 2001, with the notion of subanalytic site.

M. KASHIWARA, P. SCHAPIRA *Ind-sheaves*, Astérisque **271** (2001).

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The idea is to consider less open and less coverings (i.e. a Grothendieck topology) and extend the classical machinery of sheaves to this context.

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The idea is to consider less open and less coverings (i.e. a Grothendieck topology) and extend the classical machinery of sheaves to this context.

In this way we can apply the powerful tools of homological algebra to a larger family of functional spaces.

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**Definition:** A **presheaf** of  $k$ -vector spaces is the data of:

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The restriction is compatible with the inclusions (i.e.  $U \subset V \subset W$ ,  $s \in F(W)$ , then  $s|_{V|U} = s|_U$  and  $s|_W = s$ ).

That is, a **contravariant functor**  $F : \text{Op}(X) \rightarrow \text{Mod}(k)$ .

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A **sheaf** is a presheaf satisfying the following **gluing conditions**. Let  $U$  be open and let  $\{U_j\}_{j \in J}$  be a covering of  $U$ . We have the exact sequence

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- if  $s_j \in \Gamma(U_j; F)$  such that  $s_j = s_k$  on  $U_j \cap U_k$  then they **glue to  $s \in \Gamma(U; F)$**  (i.e.  $s|_{U_j} = s_j$ )

# Examples

Let us consider

$\mathcal{C}_X : \text{Open sets of } X \rightarrow \text{Mod}(\mathbb{R})$

$U \mapsto \{\text{continuous real valued functions on } U\}$



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- If  $\{s_i\}$  are continuous functions on a covering  $\{U_i\}$  of  $U$ , such that  $s_i = s_j$  on  $U_i \cap U_j$ , then **there exists  $s$  continuous on  $U$  with  $s = s_i$  on each  $U_i$ .**

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$\Rightarrow$  The correspondence  $U \mapsto \mathcal{C}_X^b(U)$  is not a sheaf on  $X$ .

# Fibers

Let  $F \in \text{Mod}(k_X)$  we define the **fiber** of  $F$  at  $x$  as

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Moreover, given  $U_1, U_2 \ni x$  and  $s_i \in U_i$ , we have  $s_1 \equiv s_2$  in  $F_x$  if  $s_1 = s_2$  **on a neighborhood of  $x$**   $W \subset U_1 \cap U_2$ .



# Fibers

Two sheaves  $F, G$  are isomorphic if

$$F_x \simeq G_x$$

for any  $x \in X$ . More generally a sequence of sheaves

$$0 \rightarrow F' \rightarrow F \rightarrow F'' \rightarrow 0$$

is exact if the sequence

$$0 \rightarrow F'_x \rightarrow F_x \rightarrow F''_x \rightarrow 0$$

is exact in  $\text{Mod}(k_X)$ .

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One can generalize this notion by choosing a **subfamily of open subsets**  $\mathcal{T}$  of  $X$  and for each  $U$  a **subfamily**  $\text{Cov}(U)$  of **coverings** of  $U$  satisfying suitable hypothesis (defining a **site**  $X_{\mathcal{T}}$ ).

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Then  $F : \mathcal{T} \rightarrow \text{Mod}(k)$  is a sheaf on  $X_{\mathcal{T}}$  if for each  $U \in \mathcal{T}$  and each  $\{U_j\}_{j \in J} \in \text{Cov}(U)$  we have the exact sequence

$$0 \rightarrow F(U) \rightarrow \prod_{j \in J} F(U_j) \rightarrow \prod_{j, k \in J} F(U_j \cap U_k)$$

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- If  $\{s_i\}$  are **bounded on a finite covering**  $\{U_i\}_{i=1}^n$  of  $U$ , such that  $s_i = s_j$  on  $U_i \cap U_j$ , then **there exists  $s$  bounded on  $U$  with  $s = s_i$  on each  $U_i$ .**

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$\Rightarrow$  The correspondence  $U \mapsto \Gamma(U; \mathcal{C}_X^b)$  **defines a sheaf on  $X_{\mathcal{T}}$ .**



## The general case

Let  $X$  be a topological space and consider a family of open subsets  $\mathcal{T}$  satisfying:

- $$\left\{ \begin{array}{l} \text{(i) } U, V \in \mathcal{T} \Leftrightarrow U \cap V, U \cup V \in \mathcal{T}, \\ \text{(ii) } U \setminus V \text{ has finite numbers of connected components } \forall U, V \in \mathcal{T}, \\ \text{(iii) } \mathcal{T} \text{ is a basis for the topology of } X. \end{array} \right.$$

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- 4  $\mathcal{T} = \{\text{open definable subsets of } N^n\}$ , given an O-minimal structure  $(N, <, \dots)$ , the site  $X_{def}$ .

## Construction of sheaves on $X_{\mathcal{T}}$

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Then  $F$  is a sheaf on  $X_{\mathcal{T}}$ .

# Subanalytic sheaves

From now on we will consider the subanalytic site  $X_{sa}$ .

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# Tempered functions

Let  $X$  be a real analytic manifold and let  $U \subset X$  be a relatively compact subanalytic open subset,  $f \in C^\infty$  on  $U$  is **tempered** if  $\exists M, C > 0$  such that

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For example, let  $X = \mathbb{R}$ , then  $e^{1/x}$  is tempered (even bounded) on  $U_n = \{1/n < x < 1\}_{n \in \mathbb{N}}$  but it is not tempered on  $\cup U_n = \{0 < x < 1\}$ .

# Tempered functions

Anyway one can show that if  $U, V$  are open subanalytic the sequence

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is exact. This implies that  $U \mapsto \mathcal{C}_X^{\infty,t}(U)$  is a **sheaf on the subanalytic site**  $X_{sa}$ .

# Fibers

In the case of subanalytic sheaves we **do not have the notion of fibers** in the usual sense, i.e. if we consider

$$F_x = \varinjlim_{U \ni x} F(U)$$

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**Example:** Let  $X = \mathbb{R}$  and consider the sheaves  $\mathcal{C}_{\mathbb{R}}$  and  $\mathcal{C}_{\mathbb{R}}^b$ . Then  $\mathcal{C}_{\mathbb{R},x} \simeq \mathcal{C}_{\mathbb{R},x}^b \forall x \in \mathbb{R}$ . Indeed, any continuous function  $f$  in  $(x - \varepsilon, x + \varepsilon)$ ,  $\varepsilon > 0$  is bounded in  $(x - \varepsilon/2, x + \varepsilon/2)$ .

# Fibers

Hence if we consider only the fibers associated to the points of  $x$  **we loose informations** about  $F \in \text{Mod}(k_{X_{sa}})$ .

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We **need to consider more points**.

# Spectral topology

Let us consider a countable locally finite covering  $\{U_m\}_{m \in \mathbb{N}}$  of  $X$ , with  $U_m \simeq \mathbb{R}^n$  relatively compact and subanalytic.

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A **neighborhood** of an ultrafilter  $\alpha$  is a globally subanalytic open subset  **$U$  contained in  $\alpha$** .

We call  $\tilde{X}$  the associated topological space. In  $\tilde{X}$  any covering of a **relatively compact** subanalytic open subset **has a finite subcover**.

# Example

For example, the points of  $\widetilde{\mathbb{R}}$  are the following. Let  $x \in \mathbb{R}$

- 1  $\{\mathcal{S} \text{ subanalytic, } \mathcal{S} \supseteq x\}$  (the point  $x$ )
- 2  $\{\mathcal{S} \text{ subanalytic, } \mathcal{S} \supseteq (x, x + \varepsilon), \varepsilon > 0\}$  (the point  $x^+$ )
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Thanks to these new points we can distinguish  $\mathcal{C}_{\mathbb{R}}$  from  $\mathcal{C}_{\mathbb{R}}^b$  on  $\widetilde{\mathbb{R}}$ . For example let  $f(x) = x^{-1}$ . Then  $f \notin \mathcal{C}_{\mathbb{R}}^b(0, \varepsilon) \forall \varepsilon > 0$ .

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# Topological and subanalytic sheaves

## Theorem:

Let  $X$  be a real analytic manifold. The categories  $\text{Mod}(k_{X_{sa}})$  and  $\text{Mod}(k_{\tilde{X}})$  are equivalent.

Hence, if we want to **work on fibers** on  $X_{sa}$ , we have to consider the topological space  $\tilde{X}$ .

# Operations

## Theorem:

Let  $f : X \rightarrow Y$  be a morphism of real analytic manifolds. The six Grothendieck operations  $\mathcal{H}om$ ,  $\otimes$ ,  $f_*$ ,  $f^{-1}$ ,  $f_{!!}$ ,  $f^!$  are well defined for subanalytic sheaves.

L. PRELLI *Sheaves on subanalytic sites*, Rendiconti del Seminario Matematico dell'Università di Padova Vol. 120 (2008).

## Generalizations (joint work with M. Edmundo)

It is possible to develop the formalism of the Grothendieck six operations on o-minimal sheaves in an arbitrary o-minimal structure satisfying suitable properties including:

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- 1 (regular) definable spaces in o-minimal expansions of real closed fields;
- 2 (normal) definable spaces in o-minimal expansions of ordered groups (work in progress);

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It is possible to develop the formalism of the Grothendieck six operations on o-minimal sheaves in an arbitrary o-minimal structure satisfying suitable properties including:

- 1 (regular) definable spaces in o-minimal expansions of real closed fields;
- 2 (normal) definable spaces in o-minimal expansions of ordered groups (work in progress);
- 3 definably compact groups in an arbitrary o-minimal structure

## Generalizations (joint work with M. Edmundo)

The Grothendieck formalism allows us to obtain o-minimal versions of:

- 1 derived projection formula;
- 2 derived base change formula;
- 3 Künneth formula;
- 4 Poincaré-Verdier duality.

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In this general case rather than working on the site  $X_{\text{def}}$  we work on the associated topological space  $\tilde{X}$ . (Indeed for technical reasons we need to work on fibers).

# The ring of differential operators

Let  $X$  be a complex analytic manifold. We denote by  $\mathcal{D}_X$  the sheaf of rings of differential operators. Locally, a section of  $\Gamma(U; \mathcal{D}_X)$  may be written as  $P = \sum_{|\alpha| \leq m} a_\alpha(z) \partial_z^\alpha$  with  $a_\alpha(z)$  **holomorphic** on  $U$ .

We denote by  $\text{Mod}(\mathcal{D}_X)$  the sheaf of  $\mathcal{D}_X$ -modules.

## Complex of solutions

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**Definition:** If  $U$  is open,  $\mathcal{F}$  a  $\mathcal{D}_X$ -module,  $P$  a differential operator,  $Sol_{\mathcal{F}}(P)$  on  $U$  is the complex

$$\Gamma(U; \mathcal{F}) \xrightarrow{P} \Gamma(U; \mathcal{F})$$

$$H^0(U; Sol_{\mathcal{F}}(P)) = \{s \in \Gamma(U; \mathcal{F}), Ps = 0\} = \ker P$$

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**Definition:**  $P_1$  and  $P_2$  are **equivalent** if **for any  $\mathcal{F}$**   $\ker P_1 \simeq \ker P_2$  and  $\operatorname{coker} P_1 \simeq \operatorname{coker} P_2$  (i.e.  $Sol_{\mathcal{F}}(P_1)$  and  $Sol_{\mathcal{F}}(P_2)$  are quasi-isomorphic).



## Example (dim 1)

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Hence  $P_\alpha$  and  $P_{\alpha+1}$  are **equivalent**.

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Hence  $z(z\partial_z + 1)$  and  $z^2\partial_z + 1$  **are not equivalent** (even if the holomorphic solutions are).



## Equivalence for regular operators (dim 1)

**Definition:**  $P = \sum_{\alpha \leq n} a_\alpha(z) \partial^\alpha$ ,  $a_n(z) \neq 0$  when  $z \neq 0$ , is regular at 0 if for each  $j \leq n$ ,  $n - \text{ord}_0(a_n) \geq j - \text{ord}_0(a_j)$ .

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are isomorphic (i.e.  $\text{Sol}_{\mathcal{O}_X}(P)$  is quasi-isomorphic to  $\text{Sol}_{\mathcal{O}_X}(Q)$ ).  
In particular the **holomorphic solutions are sufficient** to establish if two **regular equations are equivalent**.

# Subanalytic sheaves and solutions

The subanalytic sheaf  $\mathcal{O}_X^t$  of **tempered holomorphic functions** has a structure of  **$\rho! \mathcal{D}_X$ -module**.  $(\Gamma(U; \rho! \mathcal{D}_X))$  are differential operators  $\sum_{|\alpha| \leq m} a_\alpha \partial_Z^\alpha$  with  $a_\alpha$  holomorphic in  $\bar{U}$

## Example (dim 1)

Let us consider the **irregular** operators  $z^2\partial_z + 1$  and  $z^3\partial_z + 2$ .  
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In particular for such  $U$  we have

$$H^0(U; \text{Sol}_{\mathcal{O}_X^t}(z^2\partial_z + 1)) \simeq \mathbb{C} \cdot \exp(z^{-1})$$

$$H^0(U; \text{Sol}_{\mathcal{O}_X^t}(z^3\partial_z + 2)) \simeq 0.$$



# Irregular differential operators

In general

**Theorem (G. Morando):** There exists a fully faithful functor  $\mathcal{S}^t : \text{Mod}(\mathcal{D}_X) \rightarrow \text{Mod}(\mathbb{C}_{X_{sa}})$ .

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Hence thanks to tempered holomorphic solutions **we can distinguish irregular differential operators** which cannot be distinguished with holomorphic solutions.

Recent developments on this subject have been performed by D'Agnolo-Kashiwara and Schapira-Guillermou.

## Functorial constructions

Let  $X = \mathbb{C}$ . On the normal bundle  $T_0X$  it is possible to define a functor (specialization)

$$\nu_0 : \text{Mod}(k_X) \rightarrow \text{Mod}(k_{T_0X})$$

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such that  $(\nu_0 F)_\xi = \varinjlim_{S \ni \xi} F(S)$ ,  $S$  sector.

(This construction can be performed in general for a closed submanifold of an analytic manifold)

M. KASHIWARA, P. SCHAPIRA *Sheaves on Manifolds*, Grundlehren der Math. **292**, Springer-Verlag, Berlin (1990).

## Functorial constructions

This construction can be extended to subanalytic sheaves and when  $F = \mathcal{O}_X^w$  of **Whitney holomorphic functions** we have

$$\nu_0 \mathcal{O}_X^w \simeq \mathcal{A}_X$$

where  $\mathcal{A}_X$  is the sheaf (on the blow-up at 0) of **holomorphic functions asymptotically developable** at the origin.

L. PRELLI *Microlocalization of subanalytic sheaves*, Mémoires de la SMF (2013).

## Functorial constructions (joint work with N. Honda)

It is possible to construct a specialization functor  $\nu_D$  with respect to a **normal crossing divisor**  $D$  in  $\mathbb{C}^n$ .

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It is possible to construct a specialization functor  $\nu_D$  with respect to a **normal crossing divisor**  $D$  in  $\mathbb{C}^n$ .

Applying this functor to the sheaf of Whitney holomorphic functions we obtain Majima's sheaf  $\mathcal{A}$  of **strongly asymptotically developable** holomorphic functions.

N. HONDA, L. PRELLI *Multi-specialization and multi-asymptotic expansions*, *Advances in Math.* **232**, pp. 432-498 (2013).



# Sheaves on subanalytic sites

Luca Prelli

Paris, 19 april 2013