

# Topology of definable groups in tame structures

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## Géométrie et Théorie des Modèles

Paris, École Normale Supérieure  
6 Mai 2011

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May 8, 2011

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## O-minimal structures

### Definition

A structure  $M = (M, <, \dots)$  is **o-minimal** if every definable subset of  $M$  is a finite union of points and intervals  $(a, b)$  with  $a, b \in M \cup \{\pm\infty\}$ .

### Example

- 1  $(\mathbb{R}, <, +, \cdot)$ .
- 2 Any real closed field  $(R, <, +, \cdot)$ .
- 3  $(\mathbb{R}, <, +, \cdot, \exp, \sin|_{[0,1]})$
- 4  $\mathbb{R}_{an}$

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# Triangulations

Fix an o-minimal structure  $M$ . We always assume that  $M$  expands an ordered field. We recall the following basic result.

## Theorem ([vdD98])

Every definable set  $X \subset M^n$  can be triangulated, namely there is a finite (open) simplicial complex  $K$  and a definable homeomorphism  $f : |K|^M \rightarrow X$ .

In general two compact polyhedra  $|K|$  and  $|L|$  can be homeomorphic without being PL-homeomorphic. However:

## Theorem (O-minimal Hauptvermutung: [Shi10])

Let  $K, L$  be finite closed simplicial complexes and let  $f : |K|^M \rightarrow |L|^M$  be a **definable** homeomorphism. Then  $K$  and  $L$  have isomorphic subdivisions. So there is a PL-homeomorphism  $g : |K|^M \cong |L|^M$ .

# Definable groups

## Definition

A definable group is a definable set  $G \subset M^n$  with a definable group operation.

## Example

$$SO_2(M) = \left\{ \begin{pmatrix} a & -b \\ b & a \end{pmatrix} : a^2 + b^2 = 1 \right\}.$$

## Example

A non singular elliptic curve  $y^2 = x^3 + ax + b$  in  $\mathbb{P}^2(M)$  (“real” case) or in  $\mathbb{P}^2(M[\sqrt{-1}])$  (“complex” case).

# Tori

## Lie tori

Every connected compact abelian real Lie group is Lie-isomorphic to a torus  $\mathbb{T}^n = \mathbb{R}^n / \mathbb{Z}^n$ .

In the o-minimal context  $\mathbb{Z}$  does not exist, but we can define:

## Definable tori

Let  $\mathbb{T}^1(M) = [0, 1) \subset M$  with the following group operation:

$$x * y = \begin{cases} x + y & \text{if } x + y < 1 \\ x + y - 1 & \text{otherwise} \end{cases}$$

Similarly we define the  $n$ -th torus  $\mathbb{T}^n = [0, 1)^n$ .

## t-topology

### Theorem ([Pil88])

*Any definable group  $(G, *)$  admits a unique group topology, called the  $t$ -topology, such that  $G$  is a finite union  $U_1 \cup \dots \cup U_n$  with each  $U_i$  open in  $G$  and definably homeomorphic to  $M^n$  ( $n = \dim(G)$ ).*

So when  $M = (\mathbb{R}, <, \dots)$ , any definable group  $G$  is a real Lie group.

### Example

With the  $t$ -topology the torus  $\mathbb{T}^1 = [0, 1)$  (with addition modulo 1) is definably homeomorphic to  $S^1$  (the unit circle in  $M$ ), so the  $t$ -topology does not coincide with the subspace topology  $[0, 1) \subset \mathbb{R}$ .

### Definition

$G$  is **definably compact** if every definable curve  $f : (0, \varepsilon) \rightarrow G$  has a limit in  $G$  in the t-topology.

$G$  is **definably connected** if  $G$  has no proper definable clopen subset in the t-topology. This is equivalent to say that  $G$  has not definable subgroups of finite index.

By “Robson’s embedding theorem” we have:

### Fact

*Every definable group  $G$  can be embedded in some  $M^m$ , namely  $G$  is definably isomorphic to a group  $G' \subset M^m$  such that the t-topology of  $G'$  coincides with the subspace topology inherited from  $M^m$ .*

Such a  $G'$  is definably compact iff it is closed and bounded in  $M^m$ .

## One-dimensional definable groups

We have seen various examples of one-dimensional definable groups:  $SO(2, M)$ ,  $\mathbb{T}^1(M)$ , elliptic curves in  $\mathbb{P}^2(M)$ .

Note that  $SO(2, \mathbb{R})$  is Lie-isomorphic to  $\mathbb{T}^1(\mathbb{R})$  but not definably isomorphic in  $(\mathbb{R}, <, +, \cdot)$  (i.e. the isomorphism is not semialgebraic).

### Theorem ([Str94b])

*Let  $G$  be a definably compact definably connected abelian definable group in  $M$ . Assume  $\dim(G) = 1$ . Then  $G$ , with the t-topology, is definably homeomorphic to  $\mathbb{T}^1$  (equivalently: to the unit circle  $S^1$ ).*

A natural conjecture is that when  $\dim(G) = n$ , then  $G$  is definably homeomorphic to  $\mathbb{T}^n$ . The difficulty is that  $G$  may have no one-dimensional definable subgroups ([PS99]).

## Main result

### Theorem ([BB11])

Let  $G$  be a definably compact definably connected abelian  $n$ -dimensional definable group with  $n \neq 4$ . Then  $G$  is definably homeomorphic to  $\mathbb{T}^n$ . In the semialgebraic case the proviso  $n \neq 4$  is not needed.

### Main steps.

- ① The result holds in the semialgebraic case;
- ② Homotopy transfer;
- ③  $\pi_1(G) \cong \mathbb{Z}^n \cong \pi_1(\mathbb{T}^n)$  ([EO04]);
- ④  $\pi_k(G) = 0$  for  $k > 0$ ;  
 $G$  is definably homotopy equivalent to  $\mathbb{T}^n$  ([BMO10]);
- ⑤ If  $\dim(G) \neq 4$ , there is a finite cover  $f : G \rightarrow \mathbb{T}^n$  (as spaces). Use  $f$  to put a semialgebraic group operation on  $\text{dom}(G)$ .

□

## Step 1: Semialgebraic case

### Lemma (Elimination of parameters)

Let  $G$  be a semialgebraic group over a real closed field  $M$ . Then  $G$  is semialgebraic homeomorphic (but not necessarily isomorphic) to a semialgebraic group over  $\overline{\mathbb{Q}}^{\text{real}} \prec M$ .

### Proof.

- We can assume that the  $t$ -topology of  $G$  is the topology inherited from the ambient space  $M^m$  (Robson's embedding theorem).
- By the triangulation theorem we can assume that  $\text{dom}(G) = |K|$  where  $K$  is a finite simplicial complex definable without parameters.
- However the group operation may need parameters from  $M$ .
- Since  $\overline{\mathbb{Q}}^{\text{real}} \prec M$ , there is a possibly different commutative group operation  $\oplus$  on  $|K|$  which is defined over  $\overline{\mathbb{Q}}^{\text{real}}$ .

□

## Step 1: Semialgebraic case

### Theorem ([BB11])

Let  $G$  be a semialgebraic group of dimension  $n$  over a real closed field  $M$ . Suppose  $G$  is definably compact, definably connected, abelian. Then  $G$  is definably homeomorphic to  $\mathbb{T}^n(M)$ .

### Proof.

- We can assume that  $G$  is defined over  $\overline{\mathbb{Q}}^{real} \prec M$ . Consider  $G(\mathbb{R})$ .
- $G(\mathbb{R})$  is a compact connected abelian Lie group with the  $t$ -topology.
- There is an **analytic isomorphism**  $h : G(\mathbb{R}) \rightarrow \mathbb{T}^n(\mathbb{R})$ .  
 $h$  is definable in the  $\mathcal{o}$ -minimal structure  $\mathbb{R}_{an}$ .
- By Shiota's  $\mathcal{o}$ -minimal Hauptvermutung there is a **semialgebraic homeomorphism**  $f : G(\mathbb{R}) \rightarrow \mathbb{T}^n(\mathbb{R})$ .  
Since  $\overline{\mathbb{Q}}^{real} \prec M$  we can take  $f$  defined without parameters.
- The same formula gives a definable homeomorphism  $f^M : G(M) \rightarrow \mathbb{T}^n(M)$ .

## Step 2: Homotopy transfer

Recall that homotopy equivalence is much weaker than homeomorphism.

### Example

The figure "8" is homotopy equivalent to  $\mathbb{R}^2$  minus two points.

The homotopy category is more flexible than the topological category.

### Fact

- $|K|$  and  $|L|$  are homotopy equivalent if and only if they are semialgebraically homotopy equivalent.
- But two polyhedra  $|K|$  and  $|L|$  can be homeomorphic without being definably homeomorphic in any  $\mathcal{o}$ -minimal expansion of  $\mathbb{R}$ .

## Step 2: Homotopy transfer

Let  $M$  be an o-minimal expansion of a field, and let  $X$  be a semialgebraic set defined over  $\overline{\mathbb{Q}}^{real} \subset M$ . Look at  $X(\mathbb{R})$  and  $X(M)$ .

### Theorem (Homotopy transfer)

- 1  $\pi_1^{def}(X(M)) \cong \pi_1(X(\mathbb{R}))$  ([BO02]);
- 2  $H_*^{def}(X(M)) \cong H_*(X(\mathbb{R}))$  ([BO02]);
- 3  $H^{*def}(X(M); \mathbb{Q}) \cong H^*(X(\mathbb{R}); \mathbb{Q})$  ([EO04] and duality);
- 4  $\pi_n^{def}(X(M)) \cong \pi_n(X(\mathbb{R}))$  ([BO09]).

The superscript “def” refers to the relativization to the definable category and will be omitted in the sequel.

### Failure of topological transfer (see [BO03, Shi10, BB11])

If  $|K|(M)$  is a definable manifold, then  $|K|(\mathbb{R})$  is a (PL) manifold. But if  $|K|(\mathbb{R})$  is a topological manifold,  $|K|(M)$  need not be a definable manifold.

## Step 2: Homotopy transfer

### Proposition

If  $X$  is a definable set,  $\pi_1(X)$  is finitely generated. Similarly  $H_n(X)$  and  $H^n(X)$  are finitely generated for all  $n$ .

### Proof.

By homotopy transfer after triangulating  $X$  □

However  $\pi_n(X)$  may not be finitely generated for  $n > 1$ .

### Example

Let  $X = S^1 \wedge S^2$ . Then  $\pi_2(X) = \mathbb{Z}^{(\omega)}$ .

Indeed, for  $n > 1$ ,  $\pi_n(X) = \pi_n(\tilde{X})$  where  $\tilde{X}$  is the universal cover of  $X$ . When  $X = S^1 \wedge S^2$  the space  $\tilde{X}$  is an infinite line with infinitely many copies  $S^2$  attached to it, so clearly  $\pi_2(\tilde{X})$  is not finitely generated as a group (however it is finitely generated as a  $\mathbb{Z}[\pi_1(X)]$ -module).

## Step 3: Torsion

### Theorem ([Str94a])

Let  $G$  be a definable abelian group. Then the  $k$ -torsion subgroup  $G[k]$  is finite.

### Question ([PS99])

Suppose  $G$  is definably compact. Is  $G[k]$  non-empty?

### Theorem ([EO04])

Let  $G$  be a definably compact definably connected abelian group of dimension  $n$ . Then  $G[k] \cong \mathbb{T}^n[k]$ .

The proof depends on the study of  $\pi_1(G)$ . One shows that  $\pi_1(G) \cong \pi_1(\mathbb{T}^n)$  and that  $G[k] \cong \pi_1(G)/k\pi_1(G)$ . So we need to study  $\pi_1(G)$ .

## Step 3: Fundamental group

### Theorem ([EO04])

Let  $G$  be a definably compact definably connected abelian group of dimension  $n$ . Then  $\pi_1(G) \cong \mathbb{Z}^n$ .

### Proof.

- Since  $\text{dom}(G)$  is a definable set,  $\pi_1(G)$  is finitely generated.
- Since  $G$  is abelian, the map  $p_k : G \rightarrow G, x \mapsto kx$ , is a homomorphism.
- It is also a definable **covering** map, so it induces an **injective** homomorphism  $p_{k*} : \pi_1(G) \rightarrow \pi_1(G)$ , given by  $[\gamma] \mapsto k[\gamma]$ .
- Since this holds for every  $k$ ,  $\pi_1(G)$  is torsion free.
- Being also abelian and finitely generated,  $\pi_1(G) \cong \mathbb{Z}^s$  for some  $s$ .
- The proof of  $s = n$  uses the cup product in cohomology plus the theory of Hopf-spaces (proof omitted).





## Step 4: Higher homotopy groups

We have seen that if  $X$  is a definable set  $\pi_m(X)$  may not be finitely generated. However:

### Lemma ([BMO10])

*If  $G$  is a definably connected definable group in  $M$ , then  $\pi_m(G)$  is finitely generated for all  $m$ .*

### Proof.

- ① If  $\mathbf{G}$  is a real Lie group, then the fundamental group  $\pi_1(\mathbf{G})$  acts trivially on  $\pi_m(\mathbf{G})$  for  $m > 1$ , namely  $\mathbf{G}$  is a simple space.
- ② (Serre 1953) If  $X$  is a simple space and  $H_m(X)$  is finitely generated for all  $m$ , then  $\pi_m(X)$  is finitely generated for all  $m$ .
- ③ Being a definable group, our group  $G$  is a simple space in the definable category.
- ④ Conclude by a suitable homotopy transfer.

□

## Step 4: Higher homotopy groups

In the abelian case we get:

### Corollary ([BMO10])

*Let  $G$  be a definably connected definable abelian group in  $M$ . Then  $\pi_m(G) = 0$  for all  $m > 1$ .*

### Proof.

- The morphism  $p_k : G \rightarrow G, x \mapsto kx$ , is a covering map, so it induces an injective endomorphism of  $\pi_m(G)$  given by multiplication by  $k$ .
- Since  $m > 1$  this is actually an automorphism of  $\pi_m(G)$  [BO09].
- Since this holds for all  $k$ , we deduce that  $\pi_m(G)$  is divisible.
- Since it is also abelian and finitely generated, it must be zero.

□

In particular this gives a proof that  $\pi_m(\mathbb{T}^n) = 0$  for  $m > 0$  without factoring  $\mathbb{T}^n$  into one-dimensional subgroups.

## Step 4: Higher homotopy groups

### Theorem ([BMO10])

Let  $G$  be a definably connected definably compact definable abelian group in  $M$ . Then  $G$  is definably homotopy equivalent to  $\mathbb{T}^n(M)$ .

### Proof.

- By [EO04],  $\pi_1(G) \cong \mathbb{Z}^n$ .
- Consider the map  $f : \mathbb{T}^n \rightarrow G$  sending  $(t_1, \dots, t_n) \in [0, 1]^n$  to  $\gamma_1(t_1) + \dots + \gamma_n(t_n)$  where  $[\gamma_1], \dots, [\gamma_n]$  are free generators of  $\pi_1(G)$ .
- Then clearly  $f_* : \pi_1(\mathbb{T}^n) \cong \pi_1(G)$ .
- Since  $\pi_m(G) = 0$  for  $m > 1$ ,  $f$  induces an isomorphism on all the  $\pi_m$ 's.
- By the o-minimal version of Whitehead's theorem ([BO09])  $f$  is a definable homotopy equivalence.

□

## Step 5: Homotopy tori

We have seen that our group  $G$  is definably homotopy equivalent to  $\mathbb{T}^n(M)$  and we want to prove that it is definably homeomorphic to it.

We could try to transfer “Borel’s conjecture” from  $\mathbb{R}$  to  $M$ :

### Borel’s conjecture

Let  $X$  be a PL-manifold homotopy equivalent to  $\mathbb{T}^n(\mathbb{R})$  (considered as a PL-manifold under a standard triangulation). Then  $X$  is PL-homeomorphic to  $\mathbb{T}^n(\mathbb{R})$ .

... but unfortunately this conjecture is false (it turns out that  $X$  is indeed homeomorphic to  $\mathbb{T}^n(\mathbb{R})$  but the homeomorphism is not necessarily PL).

## Step 5: Homotopy tori

As a partial substitute for Borel's conjecture we have:

### Theorem (Homotopy tori)

Let  $X$  be a (closed)  $PL$ -manifold of dimension  $n \neq 4$  homotopy equivalent to  $\mathbb{T}^n(\mathbb{R})$ . Then there is a finite  $PL$ -covering  $f : \mathbb{T}^n(\mathbb{R}) \rightarrow X$ .

### References

For  $n \geq 5$ , see [HW69]. The case  $n = 3$  follows from results in [KS77] plus the positive solution of Poincaré's conjecture. For  $n \leq 2$   $X$  is already  $PL$ -homeomorphic to  $\mathbb{T}^n(\mathbb{R})$ .

## Step 5: Homotopy tori

By triangulating our group  $G$  and transferring from  $\mathbb{R}$  to  $M$  the result on Homotopy tori we get:

### Lemma

*There is a semialgebraic (even  $PL$ ) finite cover*

$$f : \mathbb{T}^n(M) \rightarrow G(M)$$

*(as spaces, not as groups).*

This is nice, but it would have been more useful to have a cover going the other way around.

## Step 5: Homotopy tori

### Lemma

Let  $G$  be definably compact, definably connected, abelian, of  $\dim \neq 4$ . Then there is a definable finite cover  $h : G(M) \rightarrow \mathbb{T}^n(M)$ .

### Proof.

- Start with the finite cover  $f : \mathbb{T}^n(M) \rightarrow G(M)$  (as spaces).
- Lift the group operation on  $G$  to a definable group operation  $*$  on  $\mathbb{T}^n$ . So  $f$  becomes a cover of definable groups  $f : H = (\mathbb{T}^n, *) \rightarrow G$ .
- Since  $\ker(f) < H$  is finite,  $\ker(f) < H[m]$  for some  $m$ .
- So we get a group cover  $G \cong H/\ker(f) \rightarrow H/H[m]$ .
- $H$  is a definable abelian group, so by [Str94a]  $H[m]$  is finite. Deduce that  $H/H[m] \cong H$  (as  $H$  is definably connected).
- So we get a definable cover  $h : G(M) \rightarrow \mathbb{T}^n(M)$ .

□

## Step 5: Reduction to the semialgebraic case

### Lemma

Let  $G$  be definably compact, definably connected, abelian, of  $\dim \neq 4$ . Then  $G$  is definably homeomorphic (not isomorphic!), to a semialgebraic abelian group.

### Proof.

- We can assume that  $\text{dom}(G) = |K|$  (triangulation).
- We have a definable cover  $h : G \rightarrow \mathbb{T}^n$  (as spaces).
- Since  $\mathbb{T}^n$  is semialgebraic, there is a semialgebraic cover  $h' : G' \rightarrow \mathbb{T}^n$  and a definable homeomorphism  $\phi : G \approx G'$  with  $h' \circ \phi = h$ . (see [EJP10])
- By the o-minimal Hauptvermutung there is a semialgebraic homeomorphism  $\psi : G \approx G'$  and therefore  $h' \circ \psi : G \rightarrow \mathbb{T}^n$  is a semialgebraic cover.
- $\mathbb{T}^n$  admits a semialgebraic commutative group operation which can be lifted to  $G$  via the cover.

□

## Conclusions

By a reduction to the semialgebraic case we have proved that a definably connected definably compact definable abelian group  $G$  with  $\dim(G) \neq 4$  is definably homeomorphic to  $\mathbb{T}^n(M)$ .

When  $\dim(G) = 4$  the same proof gives the following weaker result:

### Corollary

Suppose  $\text{dom}(G) = |K|(M)$ . Then  $|K|(\mathbb{R})$  is homeomorphic to  $\mathbb{T}^n(\mathbb{R})$ .

### Proof.

By results in [FQ90] a PL-manifold homotopy equivalent to  $\mathbb{T}^n(\mathbb{R})$  is homeomorphic to  $\mathbb{T}^n(\mathbb{R})$ . □

However in principle the homeomorphism may be wild (not PL), so we cannot deduce  $|K|(M)$  is definably homeomorphic to  $\mathbb{T}^n(M)$ .

## Conclusions

A possible approach to deal with the case  $\dim(G) = 4$  is to replace  $G$  with  $G \times \mathbb{T}^1$  (a group of  $\dim = 5$ ). But ...

### Question

Let  $X$  be a semialgebraic set and suppose that  $X(\mathbb{R}) \times \mathbb{T}^1(\mathbb{R})$  is semialgebraically homeomorphic to  $\mathbb{T}^5(\mathbb{R})$ . Is  $X(\mathbb{R})$  semialgebraically homeomorphic to  $\mathbb{T}^4(\mathbb{R})$ ?

The answer is yes if  $\mathbb{T}^1$  is replaced by  $\mathbb{R}$  (Shiota). With  $\mathbb{T}^1$  there could be problems.

## Conclusions

Another possibility to deal with the  $\dim = 4$  case is to use the work of many authors on the “infinitesimal subgroup”  $G^{00}$  of  $G$  (see [Pil04, BOPP05]):

### Theorem

- 1 If  $G$  is a definable group in  $M$  and  $M^* \succ M$  is saturated, then  $\mathbf{G} = G(M^*)/G^{00}(M^*)$  is a compact real Lie group [BOPP05].
- 2 When  $G$  is definably compact,  $G^{00}$  is torsion free and  $\dim_M(G) = \dim_{\mathbb{R}}(\mathbf{G})$  [HPP07].

When  $G$  is definably compact, definably connected and abelian, it follows that  $\mathbf{G} \cong \mathbb{T}^n(\mathbb{R})$ . We could try to transfer results from  $\mathbf{G}$  to  $G$  and deduce that  $G$  is definably homeomorphic to  $\mathbb{T}^n(M)$ .

## Conclusions

### Theorem (Transfer from $\mathbf{G}$ to $G$ )

Let  $G$  be definably compact and let  $\mathbf{p} : G \rightarrow \mathbf{G} = G(M^*)/G^{00}(M^*)$  be the projection.

- 1  $\dim_M(G) = \dim_{\mathbb{R}}(\mathbf{G})$  [HPP07].
- 2 The image under  $\mathbf{p}$  of a nowhere dense definable  $X \subset G$ , has measure zero in  $\mathbf{G}$ . [HP09].
- 3 If  $U \subset \mathbf{G}$  is open and  $V \subset G$  is the preimage of  $U$ , then  $\pi_1(U) \cong \pi_1^{\text{def}}(V)$  [BM11].
- 4  $\mathbf{G}$  determines the definable homotopy type of  $G$  [Bar09, BM11].

Taking  $G$  abelian and  $U = \mathbf{G}$  in (3) we obtain  $\pi_1(G) \cong \pi_1(\mathbf{G}) (\cong \mathbb{Z}^n)$ . However (3) uses (2), which in turn uses  $\pi_1(G) \cong \mathbb{Z}^n$ .

### Conjecture

If  $G$  is triangulated by  $|K|(M)$ , then  $\mathbf{G}$  can be triangulated by  $|K|(\mathbb{R})$ .

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